

09/12/01

10/9/23 U.S. PTO

Please type a sign (+) inside this box

+ PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

PS-804

First Inventor

ROSEN, Craig

Title

Human Secreted Proteins

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 1.
- ☒
- Fee Transmittal Form (e.g., PTO/SB/17) (in duplicate)
-
- (Submit an original, and a duplicate for fee processing)

- 2.
- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27.

- 3.
- ☒
- Specification [Total Pages
- 2248
-]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- 4.
- ☐
- Drawing(s) (35 U.S.C. 113) [Total Sheets
-
-]

- 5.
- ☒
- Declaration [Total Pages
- 4
-]
-
- a.
- ☒
- Newly executed (original or copy)
-
- b.
- ☐
- Copy from a prior application (37 CFR 1.63(d))
-
- (for continuation/divisional with Box 17 completed)

- i.
- ☐
- DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

- 6.
- ☐
- Application Data Sheet. See 37 CFR 1.76.

- 7.
- ☐
- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
-
- (if applicable, all necessary)

- a.
- ☒
- Computer Readable Form (CRF)

- b. Specification Sequence Listing on:

- i.
- ☐
- CD-ROM or CD-R (2 copies); or

- ii.
- ☒
- paper (2236 pages)

- c.
- ☒
- Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9.
- ☒
- Assignment Papers (cover sheet and document(s)) (3 pp.)

- 10.
- ☐
- 37 CFR 3.73(b) Statement
- ☐
- Power of Attorney

- 11.
- ☐
- English Translation Document (if applicable)

- 12.
- ☐
- Information Disclosure Statement/ Form PTO/SB/08

- ☐
- Copies of Citations

- 13.
- ☐
- Preliminary Amendment

- 14.
- ☒
- Return Receipt Postcard (MPEP 503)
-
- (should be specifically itemized)

- 15.
- ☐
- Certified copy of Priority Document(s)
-
- (if foreign priority is claimed)

- 16.
- ☐
- Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation☐ Divisional☒ Continuation-in-Part (CIP)

of prior application No: 60/278,650 filed March 27, 2001

Prior application information:

Examiner

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

22195

or ☐

Correspondence address below

NAME

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

FAX

NAME (Print/Type)

Registration No. (Attorney/Agent)

40,302

SIGNATURE

Date

September 12, 2001

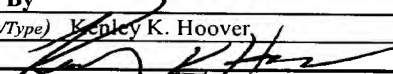
Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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Box 80910/9/23 U.S. PTO
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FEE TRANSMITTAL for FY 2001				Complete if Known																																																																																																																																																																																																									
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number 08-3425 Deposit Account Name Human Genome Sciences, Inc. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other* Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 08-3425				3. 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Name (Print/Type) Kenley K. Hoover				Registration No.: 40,302		Telephone 301-610-5771																																																																																																																																																																																																							
Signature: 						Date September 12, 2001																																																																																																																																																																																																							

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ROSEN et al.

Application Serial No.: Unassigned

Art Unit: Unassigned

Filed: Concurrently herewith

Examiner: Unassigned

For: Human Secreted Proteins

Atty. Docket No.: PS-804

**TRANSMITTAL AND SUBMISSION UNDER
37 C.F.R. §§ 1.824 and 1.52(e)(3)(ii)**

Commissioner For Patents
Washington, D.C. 20231

Sir:


In accordance with 37 C.F.R. § 1.824, Applicants submit herewith one compact disc (CD-R). The CD-R labeled CRF contains the Sequence Listing to satisfy the computer readable form. A Statement Under 37 C.F.R. § 1.821 is enclosed herewith.

The enclosed CD-R labeled CRF contains the Sequence Listing on the following format specifications:

1. Machine format: IBM-PC
2. Operating System: MS-Windows
3. Name of Files: PS804_SeqList.txt
4. Size: 6,878,977 bytes
5. Date of Creation: September 10, 2001

Respectfully submitted,

Date: September 12, 2001


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KKH/DS/lcc
Enclosure

00505660:28005660

②

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

09/13/2001 TLW11 00000112 083425 09950082

01 FC:101	710.00 CH
02 FC:103	54.00 CH
03 FC:102	80.00 CH

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